

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Box Patent Application
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450



NEW APPLICATION TRANSMITTAL

Transmitted herewith for filing is the patent application of Sherry Leonard and Robert Freedman for Promoter Variants Of The Alpha-7 Nicotinic Acetylcholine Receptor.

CERTIFICATION UNDER 37 C.F.R. § 1.10

I hereby certify that this New Application Transmittal and the documents referred to as enclosed therein are being deposited with the U.S. Postal Service on this date November 26, 2003 in an envelope as "Express Mail Post Office to Addressee" Mailing Label Number EV 329476899 US addressed to: Box Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Cliff Cannon-Cin

1. Type Of Application

This new application is for a(n)

- ☒ Original (nonprovisional)
☒ Continuation-In-Part (C-I-P).

2. Benefit Of Prior U.S. Application(s) (35 U.S.C. §§ 119(e), 120, or 121)

- ☒ The new application being transmitted claims the benefit of prior U.S. application(s) and enclosed are ADDED PAGES FOR NEW APPLICATION TRANSMITTAL WHERE BENEFIT OF PRIOR U.S. APPLICATION(S) CLAIMED.

3. Papers Enclosed That Are Required For Filing Date Under 37 C.F.R. § 1.53(b) (Regular) or 37 C.F.R. § 1.153 (Design) Application

- 131 Pages of Specification
4 Pages of Claims
1 Page of Abstract
15 Sheets of Formal Drawings

4. Additional Papers Enclosed

- ☒ Submission of "Sequence Listing," computer readable copy and/or amendment pertaining thereto for biotechnology invention containing nucleotide and/or amino acid sequence.
☒ Certificate Re: Sequence Listing

5. Declaration

- ☒ Enclosed (unexecuted)

6. Inventorship Statement

The inventorship for all the claims in this application is:

- ☒ the same

7. Language

- ☒ English

8. Assignment

- ☒ An assignment of the invention to The United States of America as represented by the Department of Veterans Affairs is attached (unexecuted).
☒ Form PTO-1595 will follow.

9. Fee Calculation (37 C.F.R. § 1.16)

☒ Regular application

CLAIMS AS FILED

Number Filed	Number Extra	Rate	Basic Fee - \$770.00 (37 C.F.R. § 1.16(a))
Total Claims (37 C.F.R. § 1.16(c))	25 - 20 =	5 × \$18.00 =	\$90.00
Independent Claims (37 C.F.R. § 1.16(b))	4 - 3 =	1 × \$86.00 =	\$86.00
Multiple Dependent Claim(s), if any (37 C.F.R. § 1.16(d))	+ \$290.00 =		\$0.00

Filing Fee Calculation \$946.00

10. Fee Payment Being Made At This Time

☒ Enclosed

☒ basic filing fee \$946.00

Total Fees Enclosed \$946.00

11. Method of Payment of Fees

☒ Check in the amount of \$946.00

12. Authorization To Charge Additional Fees and Credit Overpayment

☒ The Commissioner is hereby authorized to charge payment of any fees associated with this communication or credit any overpayment to Deposit Account No.: 08-1290. An originally executed duplicate of this transmittal is enclosed for this purpose.

13. Power of Attorney by Assignee

☒ Enclosed (unexecuted)

14. Return Receipt Postcard

☒ Enclosed

Dated: November 26, 2003



Christine A. Lekutis
Registration No.: 51,934

MEDLEN & CARROLL, LLP
101 Howard Street, Suite 350
San Francisco, California 94105
415.904.6500

☒ Incorporation By Reference Of Added Pages

☒ Plus Added Pages For New Application Transmittal Where Benefit Of Prior U.S. Application(s)
Claimed

Number of pages added 1

ADDED PAGES FOR APPLICATION TRANSMITTAL
WHERE BENEFIT OF PRIOR U.S. APPLICATION(S) CLAIMED

15. **Relate Back**

A. 35 U.S.C. § 119(e)

B. 35 U.S.C. §§ 120, 121 and 365(c)

☒ Amend the Specification by inserting before the first line the sentence: "This is a Continuation-In-Part of copending application(s) 08/956,518 filed on 10/23/97."

16. **Further Inventorship Statement Where Benefit Of Prior Application(s) Claimed**

a. This application discloses and claims additional disclosure and a new declaration or oath is being filed. With respect to the prior application, the inventor(s) in this application are

☒ the same.

17. **Notification In Parent Application Of This Filing**

☒ A notification of the filing of this

☒ continuation-in-part

is being filed in the parent application from which this application claims priority under 35 U.S.C. § 120.